

## THE GROUP OF GALOIS EXTENSIONS OVER ORDERS IN $KC_{p^2}$

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ABSTRACT. In this paper we characterize all *Galois extensions* over  $H$  where  $H$  is an arbitrary  $R$ -Hopf order in  $KC_{p^2}$ . We conclude that the abelian group of  $H$ -Galois extensions is isomorphic to a certain quotient of units groups in  $R \times R$ . This result generalizes the classification of  $H$ -Galois extensions, where  $H \subset KC_p$ , due to Roberts, and also to Hurley and Greither.

### INTRODUCTION

Let  $K$  be a finite extension of the  $p$ -adic rationals  $\mathbf{Q}_p$  endowed with the  $p$ -adic valuation  $\nu$  with  $\nu(p) = 1$ . Let  $R$  be the integral closure of  $\mathbf{Z}_p$  in  $K$  and let  $H$  be an arbitrary  $R$ -Hopf algebra order in  $KC_{p^2}$ . We assume that  $R$  contains  $\zeta$ , a primitive  $p^2$ nd root of unity; thus the linear dual  $H^* = \text{Hom}_R(H, R)$  is an  $R$ -Hopf algebra order in  $KC_{p^2}$ . In this paper we characterize all *Galois extensions* over  $H$ , and hence, all *Galois algebras* over  $H^*$ . We conclude that the abelian group of  $H$ -Galois extensions is isomorphic to a certain quotient of units groups in  $R \times R$ . This result generalizes the classification of  $H$ -Galois extensions, where  $H \subset KC_p$ , due to Roberts [R, Thm. 1], and also found in [H, Thm 4.9] and [G, Prop. II.2.1].

### 1. DEFINITIONS AND PRELIMINARIES

Let  $C_{p^2}$  denote the cyclic group of order  $p^2$  with generator  $g$ . Then the group ring  $KC_{p^2}$  can be endowed with the structure of a  $K$ -Hopf algebra, with  $\Delta$ ,  $\epsilon$ , and  $\sigma$  denoting the co-multiplication, co-unit, and antipode maps. An  $R$ -Hopf algebra order in  $KC_{p^2}$  is an  $R$ -Hopf algebra  $H$  which is a finitely generated projective  $R$  module satisfying

$$H \otimes_R K \cong KC_{p^2}$$

as  $K$ -Hopf algebras. Note that as a finitely generated module over a local ring  $R$ , a Hopf algebra order in  $KC_{p^2}$  is free over  $R$  of rank  $p^2$ .

The structure of  $R$ -Hopf algebra orders in  $KC_{p^2}$  has been determined by C. Greither in [G, Cor. 3.6], and this author in [U2, Main Theorem]. For an arbitrary  $R$ -Hopf algebra order  $H$  in  $KC_{p^2}$ , we have that

$$H = A_v(s, r) = R \left[ \frac{g^p - 1}{x_s}, \frac{g - a_v}{x_r} \right], \quad \langle g \rangle = C_{p^2}.$$

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Here  $x_s, x_r$  denote elements in  $R$  of value  $s, r$  respectively. The quantity  $a_v$  is an element in  $R \left[ \frac{g^p - 1}{x_s} \right]$  of the form  $a_v = \sum_{i=0}^{p-1} v^i e_i$ , where  $v$  is a certain unit in  $R$  and the  $e_i$  are the idempotents for the maximal integral order in  $KC_p$  (for details see [G, Cor. 3.6], [U2, §1.2], [U3, §3.1]). Moreover, it is not difficult to show that the algebra generator  $\frac{g^p - 1}{x_s}$  is a root of the monic polynomial

$$p(X) = x_{-ps}((1 + x_s X)^p - 1)$$

of degree  $p$  with coefficients in  $R$ , and that the generator  $\frac{g - a_v}{x_r}$  is a root of the monic polynomial

$$q(Y) = x_{-pr}((a_v + x_r Y)^p - g^p)$$

of degree  $p$  with coefficients in  $R \left[ \frac{g^p - 1}{x_s} \right]$ . Hence an  $R$  basis for  $H$  consists of

$$\left\{ \left( \frac{g^p - 1}{x_s} \right)^i \left( \frac{g - a_v}{x_r} \right)^j \right\},$$

for  $i, j = 0, \dots, p-1$ .

For  $\nu(1-v)$  sufficiently large we can assume that  $v = 1$ ; thus  $a_v = 1$ . In this case the  $R$ -Hopf order  $A_1(s, r)$  can be written as the *Larson order*

$$H(s, r) = R \left[ \frac{g^p - 1}{x_s}, \frac{g - 1}{x_r} \right].$$

Necessarily, we must have  $pr \leq s$  (cf. [U2, §1.2]). As before, the algebra generator  $\frac{g^p - 1}{x_s}$  is a root of the monic polynomial

$$p(X) = x_{-ps}((1 + x_s X)^p - 1)$$

of degree  $p$  with coefficients in  $R$ , and the generator  $\frac{g - 1}{x_r}$  is a root of the monic polynomial

$$q(Y) = x_{-pr}((1 + x_r Y)^p - g^p)$$

of degree  $p$  with coefficients in  $R \left[ \frac{g^p - 1}{x_s} \right]$ . It follows that an  $R$  basis for the Larson order  $H(s, r)$  consists of

$$\left\{ \left( \frac{g^p - 1}{x_s} \right)^i \left( \frac{g - 1}{x_r} \right)^j \right\},$$

for  $i, j = 0, \dots, p-1$ .

Let  $H = A_v(s, r)$  be an arbitrary order in  $KC_{p^2}$ . Since we supposed that  $\zeta \in R$ , the linear dual  $H^* = \text{Hom}_R(H, R)$  inherits the structure of a Hopf algebra order in  $KC_{p^2}$  of the form

$$H^* = A_{v'}(r', s') \cong R \left[ \frac{g^p - 1}{x_{r'}}, \frac{g - a_{v'}}{x_{s'}} \right]$$

where  $r' = \frac{1}{p-1} - r$ ,  $s' = \frac{1}{p-1} - s$ , and  $v' = 1 + \zeta - v$  (cf. [G, Remark 3.12], [U3, Thm 3.1.0]). We note that for the dual pair  $A_v(s, r)$  and  $A_{v'}(r', s')$  we have either  $pr \leq s$  or  $ps' \leq r'$  (cf. [U2, Thms. 2.4, 2.5]).

In general, an arbitrary order in  $KC_{p^2}$  is either a Larson order  $H(s, r)$ , or a non-Larson order of the form  $A_v(s, r)$ .

Again, let  $H$  denote an arbitrary  $R$ -Hopf order in  $KC_{p^2}$ .

**Definition 1.0.** An  $H$ -Galois extension of  $R$  is a finitely generated projective  $R$ -algebra  $S$  together with an  $R$ -algebra map

$$\alpha : S \rightarrow S \otimes H$$

satisfying the conditions

$$(\alpha \otimes 1)\alpha = (1 \otimes \Delta)\alpha,$$

$$(1 \otimes \epsilon)\alpha = Id_S,$$

with the map

$$\gamma : S \otimes S \rightarrow S \otimes H,$$

given by

$$\gamma(s \otimes t) = \sum_{(t)} st_{(1)} \otimes t_{(2)}$$

an isomorphism. Here we employ the Sweedler-like notation  $\alpha(t) = \sum_{(t)} t_{(1)} \otimes t_{(2)}$ ,

where  $t_{(1)} \in S$ ,  $t_{(2)} \in H$ .

**Definition 1.1.** A finitely generated projective  $R$ -algebra  $S$  is an  $H$ -Galois algebra if there exists an  $H$ -module map

$$\beta : H \otimes S \rightarrow S,$$

satisfying

$$\beta(h \otimes 1) = \epsilon(h),$$

$$\beta(h \otimes xy) = \sum_{(h)} \beta(h_{(1)} \otimes x) \cdot \beta(h_{(2)} \otimes y),$$

with the map

$$H \otimes S \rightarrow \text{End}_R(S), \quad h \otimes x \mapsto (y \mapsto x \cdot \beta(h \otimes y)),$$

an isomorphism.

We note that  $S$  is an  $H$ -Galois extension if and only if  $S$  is an  $H^*$ -Galois algebra (cf. [C, §1]).

Our goal in this paper is to characterize all  $H$ -Galois extensions  $S$ , where  $H$  is an arbitrary  $R$ -Hopf algebra order in  $KC_{p^2}$ . Note that S. Hurley, [H], C. Greither, [G], and L. Roberts [R] all provide classifications of  $H$ -Galois extensions when  $H$  is an arbitrary “Tate-Oort” order in  $KC_p$ . Our methods here generalize those of [G] and [R]. We realize that every  $R$ -Hopf order  $H$  in  $KC_{p^2}$  will give rise to a finite group scheme  $Sp_R H = \text{Hom}_{R\text{-alg}}(H, \quad)$  of order  $p^2$ . Moreover, the cohomology group  $H^1(R, Sp_R H)$  can be identified with the collection of  $H$ -Galois extensions, up to  $H$ -comodule isomorphism. In other parlance, the group  $H^1(R, Sp_R H)$  corresponds to isomorphism classes of *principal homogeneous spaces for  $Sp_R H$  over the base scheme  $Sp_R R$* , and the affine algebras of these principal homogeneous spaces give rise to our  $H$ -Galois extensions. (Cf. [G, Intro.] and [M1, Ch.III, § 4].)

Our plan is to calculate  $H^1(R, Sp_R H)$  and then give the algebraic structure of the corresponding  $H$ -Galois extensions. Our first step is to involve  $Sp_R H$  in a short exact sequence of group schemes. Specializing to the case where  $H$  is a Larson order  $H(s, r)$ , we first resolve  $SpH(s, r)$  and then compute  $H^1(R, SpH(s, r))$ . Next we consider the class of non-Larson orders in  $KC_{p^2}$ , of the form  $A_v(s, r)$ . We then give a resolution of  $SpA_v(s, r)$ , and compute  $H^1(R, SpA_v(s, r))$ .

## 2. A RESOLUTION OF $Sp_R(H(s, r))$

To resolve  $Sp_R(H(s, r))$ , we first need to define certain abelian group functors  $\mathbf{E}_{s,r}$  and  $\mathbf{E}_{ps,pr}$ . We first define  $\mathbf{E}_{s,r}$ . Let  $A$  be a commutative  $R$ -algebra, and let  $G_{s,r}(A)$  be the subset of  $U(A) \times U(A)$  defined

$$G_{s,r}(A) = \{(u_0, u_1) \in U(A) \times U(A) \mid u_0 \equiv 1 \pmod{x_s} \text{ and } u_1 \equiv 1 \pmod{x_r}\}.$$

Here  $a \equiv b \pmod{x_s}$  if and only if  $a - b \in x_s A$ . One easily checks that  $G_{s,r}(A)$  forms a subgroup of  $U(A) \times U(A)$  under coordinatwise multiplication. Moreover, for each element  $(u_0, u_1) \in G_{s,r}(A)$  there is a pair  $(w_{u_0}, w_{u_1}) \in A \times A$  with

$$u_0 = 1 + x_s w_{u_0} \quad \text{and} \quad u_1 = 1 + x_r w_{u_1}.$$

With this in mind, we define a subset  $\mathbf{E}_{s,r}(A)$  of  $A \times A$  as follows:

$$\mathbf{E}_{s,r}(A) = \left\{ (w_{u_0}, w_{u_1}) \in A \times A \mid w_{u_0} = \frac{u_0 - 1}{x_s} \text{ and } w_{u_1} = \frac{u_1 - 1}{x_r} \right\},$$

for some  $(u_0, u_1) \in G_{s,r}(A)$ .

By construction, we have a bijection of sets

$$\rho : \mathbf{E}_{s,r}(A) \rightarrow G_{s,r}(A), \quad \rho(w_{u_0}, w_{u_1}) = (u_0, u_1).$$

In fact, we can put a group structure on  $\mathbf{E}_{s,r}(A)$  so that  $\rho$  is an isomorphism of abelian groups. For two elements  $(w_{u_0}, w_{u_1}), (w_{v_0}, w_{v_1}) \in A \times A$  we simply define an operation

$$(w_{u_0}, w_{u_1}) * (w_{v_0}, w_{v_1}) = (w_{u_0 v_0}, w_{u_1 v_1}),$$

induced from the group structure of  $G_{s,r}(A)$ . We realize that  $\mathbf{E}_{s,r}$  is a group functor from the category of commutative  $R$ -algebras to the category of abelian groups and is representable by the  $R$ -Hopf algebra

$$B = R[T_0, T_1, (1 + x_s T_0)^{-1}, (1 + x_r T_1)^{-1}],$$

where  $T_0, T_1$  are indeterminates. Comultiplication in  $B$  is the unique  $R$ -algebra map  $\Delta : B \rightarrow B \otimes B$  which makes the elements  $1 + x_s T_0, 1 + x_r T_1$  grouplike.

In a similar manner we define the abelian group functor  $\mathbf{E}_{ps,pr}$ . Let  $A$  be any commutative  $R$ -algebra, and let

$$\mathbf{E}_{ps,pr}(A) = \left\{ (w_{u_0}, w_{u_1}) \in A \times A \mid w_{u_0} = \frac{u_0 - 1}{x_{ps}}, w_{u_1} = \frac{u_1 - 1}{x_{pr}} \right\},$$

for some  $(u_0, u_1) \in G_{ps,pr}(A)$  with

$$G_{ps,pr}(A) = \{(u_0, u_1) \in U(A) \times U(A) \mid u_0 \equiv 1 \pmod{x_{ps}}, u_1 \equiv 1 \pmod{x_{pr}}\}.$$

We see that  $\mathbf{E}_{ps,pr}$  is a group functor from the category of commutative  $R$ -algebras to the category of abelian groups, and is represented by the  $R$ -Hopf algebra

$$R[T_0, T_1, (1 + x_{ps} T_0)^{-1}, (1 + x_{pr} T_1)^{-1}],$$

with indeterminates  $T_0, T_1$ .

**Theorem 2.0.** *There is an epimorphism of flat sheaves  $\Theta : \mathbf{E}_{s,r} \rightarrow \mathbf{E}_{ps,pr}$  whose kernel is the group scheme represented by the  $R$ -Hopf algebra  $H(s, r)$ .*

*Proof.* Let  $\Theta$  be the morphism on  $\mathbf{E}_{s,r}$  defined

$$\Theta(A)((w_{u_0}, w_{u_1})) = (p(w_{u_0}), (1 + x_s w_{u_0})^{-1} q(w_{u_0}, w_{u_1})),$$

with

$$p(T_0) = x_{-ps}((1 + x_s T_0)^p - 1),$$

$$q(T_0, T_1) = x_{-pr}((1 + x_r T_1)^p - (1 + x_s T_0)).$$

We first show that for an  $R$ -algebras  $A$ ,

$$\Theta(A)(\mathbf{E}_{s,r}(A)) \subseteq \mathbf{E}_{ps,pr}(A).$$

Let  $(w_{u_0}, w_{u_1}) \in \mathbf{E}_{s,r}(A)$ . Then

$$\Theta(A)(w_{u_0}, w_{u_1}) = \Theta(A)\left(\frac{u_0 - 1}{x_s}, \frac{u_1 - 1}{x_r}\right) = \left(\frac{u_0^p - 1}{x_{ps}}, \frac{u_0^{-1}u_1^p - 1}{x_{pr}}\right).$$

Now since  $s \leq \frac{1}{p-1}$  and  $pr \leq s$ ,

$$\frac{u_0 - 1}{x_s} \in A \implies \frac{u_0^p - 1}{x_{ps}} \in A,$$

and

$$\frac{u_1 - 1}{x_r} \in A \implies \frac{u_1^p - 1}{x_{pr}} \in A \implies \frac{u_0^{-1}u_1^p - 1}{x_{pr}} \in A.$$

We next show that  $\Theta$  is an epimorphism of  $R$ -group schemes in the flat topology. For an  $R$ -algebra  $A$ , let  $(A \rightarrow A_i)_i$  be any flat covering of  $A$ . (We have identified affine open sets with their representing algebras, cf. [U1, Ch.2], [M1, pp. 46-66].) For  $(a, b) \in \mathbf{E}_{ps,pr}(A)$ , let  $(a_i, b_i)$  be the image of  $(a, b)$  under the induced maps

$$\mathbf{E}_{ps,pr}(A) \rightarrow \mathbf{E}_{ps,pr}(A_i).$$

Now form the  $A_i$ -algebras

$$A'_i = A_i[T_0, T_1, (1 + x_s T_0)^{-1}, (1 + x_r T_1)^{-1}] / \langle p(T_0) - a_i, (1 + x_s T_0)^{-1} q(T_0, T_1) - b_i \rangle.$$

By §1,  $p(T_0) - a_i$  is monic of degree  $p$  with coefficients in  $A_i$  and  $q(T_0, T_1)$  is monic, degree  $p$  in  $T_1$  with coefficients in  $A_i[T_0, (1 + x_s T_0)^{-1}]$ . It follows that the ideal generated by the coefficients of  $(1 + x_s T_0)^{-1} q(T_0, T_1) - b_i \in A_i[T_0, (1 + x_s T_0)^{-1}][T_1]$  is all of  $A_i[T_0, (1 + x_s T_0)^{-1}]$ . Thus by [M1, p. 10, Remark 2.6], each map  $A_i \rightarrow A'_i$  is flat, and hence *faithfully* flat by [M1, Prop. 2.7]. Thus  $(A \rightarrow A'_i)_i$  is a flat covering of  $A$ .

Now let  $x_i, y_i$  denote the images of  $T_0, T_1$  respectively under the canonical map

$$A_i[T_0, T_1, (1 + x_s T_0)^{-1}, (1 + x_r T_1)^{-1}] \rightarrow A'_i.$$

Then  $(x_i, y_i) \in \mathbf{E}_{s,r}(A'_i)$  with

$$\Theta(A'_i)((x_i, y_i)) = (a_i, b_i) = \text{res}_{A, A'_i}((a, b))$$

for all  $i$ , where  $\text{res}_{A, A'_i}((a, b))$  is the image of  $(a, b)$  under the induced maps

$$\mathbf{E}_{ps,pr}(A) \rightarrow \mathbf{E}_{ps,pr}(A'_i);$$

hence  $\Theta$  is an epimorphism of flat sheaves (group schemes) by [M1, p. 63, Thm. 2.15(c)].

We also have a canonical surjection of  $R$ -algebras:

$$R[T_0, (1 + x_s T_0)^{-1}, T_1, (1 + x_r T_1)^{-1}] \longrightarrow \\ R[T_0, (1 + x_s T_0)^{-1}, T_1, (1 + x_r T_1)^{-1}] / \langle p(T_0), (1 + x_s T_0)^{-1} q(T_0, T_1) \rangle \cong H(s, r),$$

with  $\overline{T}_0, \overline{T}_1$  identified with  $\frac{g^p - 1}{x_s}$  and  $\frac{g - 1}{x_r}$ , respectively. Thus  $\ker \Theta = Sp_R(H(s, r))$ .  $\square$

It follows that the resulting short exact sequence of  $R$ -group schemes

$$Sp_R(H(s, r)) \rightarrow \mathbf{E}_{s,r} \xrightarrow{\Theta} \mathbf{E}_{ps,pr}$$

is a resolution of the group scheme  $SpH$  where  $H$  is an arbitrary Larson order in  $KC_{p^2}$ .

We are now in a position to prove our main theorem.

**Theorem 2.1.** *Let  $H = H(s, r)$  be an arbitrary Larson order in  $KC_{p^2}$ . Then the abelian group of  $H$ -Galois extensions is isomorphic to the quotient group*

$$\mathbf{E}_{ps,pr}(R) / \mathbf{E}_{s,r}^\Theta(R),$$

where the class  $[(w_{u_0}, w_{u_1})]$  corresponds to an  $H$ -Galois extension

$$S = R \left[ \frac{v_0 - 1}{x_s}, \frac{v_1 - 1}{x_r} \right],$$

where  $v_0^p = u_0, v_1^p = v_0 u_1$ . The comodule map  $\rho : S \rightarrow S \otimes H(s, r)$  is given by

$$\rho : v_0 \mapsto v_0 \otimes g^p, \quad \rho : v_1 \mapsto v_1 \otimes g.$$

*Proof.* Using the given resolution of  $SpH(s, r)$ , we employ the long exact sequence in cohomology yielding

$$H^0(R, SpH(s, r)) \longrightarrow H^0(R, \mathbf{E}_{s,r}) \longrightarrow H^0(R, \mathbf{E}_{ps,pr}) \\ \longrightarrow H^1(R, SpH(s, r)) \longrightarrow H^1(R, \mathbf{E}_{s,r}) \longrightarrow H^1(R, \mathbf{E}_{ps,pr}) \longrightarrow \cdots$$

Note that

$$H^0(R, \mathbf{E}_{s,r}) = \mathbf{E}_{s,r}(R)$$

and

$$H^0(R, \mathbf{E}_{ps,pr}) = \mathbf{E}_{ps,pr}(R);$$

hence we have an exact sequence

$$\mathbf{E}_{s,r}(R) \longrightarrow \mathbf{E}_{ps,pr}(R) \longrightarrow H^1(R, SpH(s, r)) \longrightarrow H^1(R, \mathbf{E}_{s,r}).$$

We claim that the last term  $H^1(R, \mathbf{E}_{s,r})$  is trivial. To this end suppose not, and let  $S$  be a nontrivial  $B$ -Galois extension with structure map  $\rho$ . (Recall that  $B$  is the representing algebra of  $\mathbf{E}_{s,r}$ .) By [G, Lemma II.1.6],

$$\{x \in S \mid \rho(x) \in S \otimes_R R[T_0, (1 + x_s T_0)^{-1}]\}$$

is a non-trivial  $R[T_0, (1 + x_s T_0)^{-1}]$ -Galois extension, contradicting [G, Proposition I.2.2], proving our claim.

Now with  $H^1(R, \mathbf{E}_{s,r}) = 0$  we write the exact sequence

$$\mathbf{E}_{s,r}(R) \xrightarrow{\Theta} \mathbf{E}_{ps,pr}(R) \longrightarrow H^1(R, SpH(s, r)).$$

It follows that

$$\mathbf{E}_{ps,pr}(R)/\mathbf{E}_{s,r}^\Theta(R) \cong H^1(R, SpH(s, r)).$$

Given an element  $(w_{u_0}, w_{u_1}) \in \mathbf{E}_{ps,pr}(R)$  we construct the corresponding  $H$ -Galois extension  $S$  by constructing the image of  $(w_{u_0}, w_{u_1})$  under the connecting homomorphism

$$\delta : \mathbf{E}_{ps,pr}(R) \longrightarrow H^1(R, Sp(H(s, r))).$$

Following the standard description of  $\delta$  (cf. [CF, p.97], [Gi, Ch. III]), we recall our surjection in the flat topology

$$\Theta : \mathbf{E}_{s,r} \longrightarrow \mathbf{E}_{ps,pr}.$$

There exists a finite extension  $L/K$ , with ring extension  $A = O_L/R$  and an element  $(w_{v_0}, w_{v_1}) \in \mathbf{E}_{s,r}(A)$  so that

$$\Theta(A)(w_{v_0}, w_{v_1}) = (w_{u_0}, w_{u_1}).$$

In other words, the element  $(v_0, v_1)$  satisfies

$$v_0^p = u_0, \quad v_0^{-1}v_1^p = u_1.$$

We now let  $\delta(w_{u_0}, w_{u_1})$  correspond to the pair  $(v_0, v_1)$ , forming the  $R$ -algebra

$$S = R \left[ \frac{v_0 - 1}{x_s}, \frac{v_1 - 1}{x_r} \right].$$

We claim that  $S$  is an  $H$ -Galois extension contained in  $O_L \subset L$ . It is immediate that  $S$  is finitely generated because by construction the generators  $w_{v_0} = \frac{v_0 - 1}{x_s}$  and  $w_{v_1} = \frac{v_1 - 1}{x_r}$  are in  $A$ . One can check by hand that  $\rho$  is a comodule map, so it remains to show that  $\rho$  induces a bijection

$$\gamma_\rho : S \otimes S \longrightarrow S \otimes H.$$

Viewing the situation over  $K$ , we have that

$$S \otimes K = K(v_0, v_1) = K(\sqrt[p]{u_0}, \sqrt[p]{u_1 \sqrt[p]{u_0}}) = K(\sqrt[p^2]{u_0 u_1^p}) = K(v_1).$$

It follows that  $S \otimes K$  is a  $KC_{p^2}$ -Galois extension with comodule map  $\rho \otimes K$ , by L. Robert's isomorphism

$$U(K)/U(K)^{p^2} \cong H^1(K, \mu_{p^2, K})$$

which computes all  $KC_{p^2}$ -Galois extensions, cf. [R, p. 693]. Now since

$$\gamma_{\rho \otimes K} : (S \otimes K) \otimes (S \otimes K) \longrightarrow (S \otimes K) \otimes KC_{p^2}$$

is an isomorphism, then

$$\gamma_\rho : S \otimes S \longrightarrow S \otimes H$$

is an injection. Following [G, II.1.5], we can show that  $\gamma_\rho$  is an bijection by showing that  $\text{disc}(S/R) = \text{disc}(H/R)$ . To this end, by [G, Prop. II.2.1]

$$R \left[ \frac{v_0 - 1}{x_s} \right]$$

is an  $H(s)$ -Galois extension, where  $H(s)$  is the Tate/Oort order  $R \left[ \frac{g^p - 1}{x_s} \right]$ . Thus by [G, Lemma II.1.5]

$$\operatorname{disc} \left( R \left[ \frac{g^p - 1}{x_s} \right] / R \right) = \operatorname{disc} \left( R \left[ \frac{v_0 - 1}{x_s} \right] / R \right);$$

hence

$$\operatorname{disc} \left( R \left[ \frac{g^p - 1}{x_s} \right] \otimes R \left[ \frac{h - 1}{x_r} \right] / R \right) = \operatorname{disc} \left( R \left[ \frac{v_0 - 1}{x_s} \right] \otimes R \left[ \frac{h - 1}{x_r} \right] / R \right),$$

where  $h$  generates a cyclic group of order  $p$ . Additionally,

$$\operatorname{disc} \left( R \left[ \frac{v_1 - 1}{x_r} \right] / R \right) = \operatorname{disc} \left( R \left[ \frac{h - 1}{x_r} \right] / R \right);$$

hence

$$\operatorname{disc} \left( R \left[ \frac{v_0 - 1}{x_s} \right] \otimes R \left[ \frac{h - 1}{x_r} \right] / R \right) = \operatorname{disc} \left( R \left[ \frac{v_0 - 1}{x_s} \right] \otimes R \left[ \frac{v_1 - 1}{x_r} \right] / R \right).$$

It follows that  $\operatorname{disc}(S/R) = \operatorname{disc}(H/R)$  and hence  $S$  is an  $H$ -Galois extension.

Moreover, if  $(w_{u_0}, w_{u_1})$  is so that  $(w_{u_0}, w_{u_1}) \in \mathbf{E}_{s,r}^\Theta(R)$ , then

$$u_0 = v_0^p, \quad u_1 = v_0^{-1} v_1^p$$

where  $(v_0, v_1) \in G_{s,r}(R)$ . Now since  $v_1 \in K$ ,  $S \otimes K$  will correspond to the trivial  $KC_{p^2}$ -Galois extension (again use L. Roberts classification). Since the canonical map  $H^1(R, SpH(s, r)) \rightarrow H^1(K, \mu_{p^2, K})$  is injective (cf. [M2], III.1.1), we conclude that  $(w_{u_0}, w_{u_1})$  corresponds to the trivial  $H$ -Galois extension iff  $(w_{u_0}, w_{u_1}) \in \mathbf{E}_{s,r}^\Theta(R)$ . This completes the proof of the theorem.  $\square$

We now turn our attention to calculating  $H^1(R, SpA_v(s, r))$ .

### 3. A RESOLUTION OF $Sp_R(A_v(s, r))$ WHEN $A_v(s, r)$ IS NOT LARSON

To resolve  $Sp_R(A_v(s, r))$ , we first define the abelian group functor  $\mathbf{W}_{s,r}$ . We construct this functor by generalizing the method used to construct functors presented in [SS1, Prop. 2.2, Remark 2.3] and [SS2, §3]. In these papers, the authors have resolved

$$SpA_\zeta(1/(p-1), 1/(p-1)) = SpH(0, 0)^* = SpRC_{p^2}^*,$$

where  $RC_{p^2}^*$  is identified with the maximal integral order in  $KC_{p^2}$ .

Let  $F_0$  be the constant polynomial  $F_0 = 1$ , and let  $F_1(T_0)$  be the polynomial in the indeterminate  $T_0$  defined

$$\begin{aligned} F_1(T_0) = & \frac{1 + (1 + x_s T_0) + \cdots + (1 + x_s T_0)^{p-1}}{p} \\ & + v \left( \frac{1 + \zeta^{-p}(1 + x_s T_0) + \cdots + \zeta^{-(p-1)p}(1 + x_s T_0)^{p-1}}{p} \right) + \cdots \\ & + v^{p-1} \left( \frac{1 + \zeta^{-(p-1)p}(1 + x_s T_0) + \cdots + \zeta^{-(p-1)^2 p}(1 + x_s T_0)^{p-1}}{p} \right). \end{aligned}$$



Moreover, let

$$\alpha_0^F(T_0) = x_s T_0 + F_0 = x_s T_0 + 1,$$

$$\alpha_1^F(T_0, T_1) = x_r T_1 + F_1(T_0),$$

for  $T_0, T_1$  indeterminate. Additionally, let

$$\beta_0^F(U_0) = \frac{U_0 - 1}{x_s},$$

$$\Lambda_0^F(X_0, Y_0) = x_s X_0 Y_0 + X_0 + Y_0,$$

for indeterminates  $U_0, X_0, Y_0$ . Finally, put

$$\omega_0^F(i) = \beta_0^F(\zeta^{pi}),$$

for  $i \in \mathbf{Z}_p$ . Here  $\zeta^{pi}$  is defined as

$$\zeta^{pi} = \zeta^{pi_0}$$

where  $i = \sum_{k \geq 0} i_k p^k$ .

**Lemma 3.0.** *Let  $x_{s_0} = x_s$  and  $x_{s_1} = x_r$ . The family  $\{F_r\}$ ,  $r = 0, 1$ , defined above satisfies the following conditions for each  $r$ :*

- (i)  $F_r(0) = 1,$
- (ii)  $F_r(X_0)F_r(Y_0) \equiv F_r(\Lambda_0^F(X_0, Y_0)) \pmod{x_{s_r}},$
- (iii)  $F_r(\omega_0^F(1)) \equiv \zeta^{p^{1-r}} \pmod{x_{s_r}}.$

*Proof.* This can be verified directly. For example, if  $p = 2$ , (ii) follows by the calculation

$$\begin{aligned} & F_1(X_0)F_1(Y_0) - F_1(x_s X_0 Y_0 + X_0 + Y_0) \\ &= \left( \frac{1 + (1 + x_s X_0)}{2} + \frac{v(1 - (1 + x_s X_0))}{2} \right) \\ & \quad \times \left( \frac{1 + (1 + x_s Y_0)}{2} + \frac{v(1 - (1 + x_s Y_0))}{2} \right) \\ & \quad - \left( \frac{1 + (1 + x_s(x_s X_0 Y_0 + X_0 + Y_0))}{2} + \frac{v(1 - (1 + x_s(x_s X_0 Y_0 + X_0 + Y_0)))}{2} \right) \\ &= \frac{x_s^2 X_0 Y_0}{4} + \frac{v^2 x_s^2 X_0 Y_0}{4} - \frac{x_s^2 X_0 Y_0}{2} \\ &\equiv 0 \pmod{x_r} \end{aligned}$$

since  $\nu(1 - v^2) \geq 2s' + r$ . □

Thus by [SS1, Remark 2.3] we can construct an  $R$ -group scheme  $\mathbf{W}_{s,r}$  with representing algebra

$$C = R[T_0, T_1, (\alpha_0^F(T_0))^{-1}, (\alpha_1^F(T_0, T_1))^{-1}].$$

Observe that property (ii) above guarantees a well-defined group law on  $\mathbf{W}_{s,r}$ : Let  $(m_0, m_1), (n_0, n_1) \in \mathbf{W}_{s,r}(A)$  for an  $R$ -algebra  $A$ . Then we define

$$(m_0, m_1) * (n_0, n_1) = (m_0 + n_0 + x_s m_0 n_0, m_1 F_1(n_0) + n_1 F_1(m_0) + x_r m_1 n_1 + y)$$

where  $y$  is some element of  $A$  determined by the congruence in (ii). Moreover condition (i) implies an identity for  $\mathbf{W}_{s,r}$ .

We realize that there is an inclusion of group schemes

$$Sp(A_v(s, r)) \longrightarrow \mathbf{W}_{s,r}$$

induced by the surjection

$$C \longrightarrow C / \langle x_{-ps}(1 - \alpha_0^F(T_0)^p), x_{-pr}(\alpha_0^F(T_0) - \alpha_1^F(T_0, T_1)^p) \rangle \cong A_v(s, r)$$

with  $\overline{T}_0, \overline{T}_1$  identified with  $\frac{g^p - 1}{x_s}$  and  $\frac{g - a_v}{x_r}$ , respectively. Moreover, there exists a commutative diagram

$$\begin{array}{ccccc} SpH(r) & \longrightarrow & SpA_v(s, r) & \longrightarrow & SpH(s) \\ \downarrow & & \downarrow & & \downarrow \\ SpR[T_0, (1 + x_r T_0)^{-1}] & \longrightarrow & W_{s,r} & \longrightarrow & SpR[T_0, (1 + x_s T_0)^{-1}] \\ \downarrow & & \downarrow & & \downarrow \\ SpR[T_0, (1 + x_{pr} T_0)^{-1}] & \longrightarrow & W_{s,r}/SpA_v(s, r) & \longrightarrow & SpR[T_0, (1 + x_{ps} T_0)^{-1}] \end{array}$$

with all rows and columns s.e.s.'s. Here  $H(s), H(r)$  denote Larson orders in  $KC_p$ . Thus the quotient  $W_{s,r}/SpA_v(s, r)$  is a filtered group scheme of type  $(ps, pr)$ , with filtration given by  $SpR[T_0, (1 + x_{ps} T_0)^{-1}], SpR[T_0, (1 + x_{pr} T_0)^{-1}]$  (cf. [SS2, §3]). Thus by [SS2, Thm. 3.3]

$$\mathbf{V}_{ps,pr} := \mathbf{W}_{s,r}/Sp(A_v(s, r)) = Sp(R[T_0, T_1, (\alpha_0^G(T_0))^{-1}, (\alpha_1^G(T_0, T_1))^{-1}]),$$

where

$$\alpha_0^G(T_0) = 1 + x_{ps} T_0$$

and

$$\alpha_1^G(T_0, T_1) = G_1(T_0) + x_{pr} T_1,$$

for some polynomial  $G_1(T_0) \in R[T_0]$  satisfying the conditions

- (i)  $G_1(0) = 1,$
- (ii)  $G_1(X_0)G_1(Y_0) \equiv G_1(X_0 + Y_0 + x_{ps}X_0Y_0) \pmod{x_{pr}}.$

It follows that the resulting short exact sequence of  $R$ -group schemes

$$SpR(A_v(s, r)) \rightarrow \mathbf{W}_{s,r} \xrightarrow{\Psi} \mathbf{V}_{ps,pr},$$

where  $\Psi$  is the canonical surjection, is a resolution of the group scheme  $SpH$  where  $H$  is an arbitrary non-Larson order in  $KC_{p^2}$ .

We are now in a position to prove our second main theorem.

**Theorem 3.1.** *Let  $H = A_v(s, r)$  be an arbitrary non-Larson order in  $KC_{p^2}$ . Then the abelian group of  $H$ -Galois extensions is isomorphic to the quotient group*

$$\mathbf{V}_{ps,pr}(R)/\mathbf{W}_{s,r}^\Psi(R),$$

where the class  $[(t_0, t_1)]$  corresponds to an  $H$ -Galois extension  $S$  of the form

$$S = R \left[ \frac{v_0 - 1}{x_s}, \frac{v_1 - F_1(v'_0)}{x_r} \right],$$

where  $v_0^p = \alpha_0^G(t_0)$ ,  $v_0^{-1}v_1^p = \alpha_1^G(t_0, t_1)$  and  $v'_0 = \frac{v_0 - 1}{x_s}$ . The comodule map  $\rho : S \rightarrow S \otimes A_v(s, r)$  is given by

$$\rho : v_0 \mapsto v_0 \otimes g^p,$$

$$\rho : v_1 \mapsto v_1 \otimes g.$$

*Proof.* Using the given resolution of  $Sp(A_v(s, r))$ , we employ the long exact sequence in cohomology yielding

$$\begin{aligned} H^0(R, SpA_v(s, r)) &\longrightarrow H^0(R, \mathbf{W}_{s,r}) \longrightarrow H^0(R, \mathbf{V}_{ps,pr}) \\ &\longrightarrow H^1(R, SpA_v(s, r)) \longrightarrow H^1(R, \mathbf{W}_{s,r}) \longrightarrow H^1(R, \mathbf{V}_{ps,pr}) \longrightarrow \cdots \end{aligned}$$

Note that

$$H^0(R, \mathbf{W}_{s,r}) = \mathbf{W}_{s,r}(R)$$

and

$$H^0(R, \mathbf{V}_{ps,pr}) = \mathbf{V}_{ps,pr}(R);$$

hence we have an exact sequence

$$\mathbf{W}_{s,r}(R) \longrightarrow \mathbf{V}_{ps,pr}(R) \longrightarrow H^1(R, SpA_v(s, r)) \longrightarrow H^1(R, \mathbf{W}_{s,r}).$$

Now since  $H^1(R, \mathbf{W}_{s,r}) \cong 0$ , we obtain the isomorphism

$$\mathbf{V}_{ps,pr}(R)/\mathbf{W}_{s,r}^\Psi(R) \cong H^1(R, SpA_v(s, r)).$$

Suppose  $(t_0, t_1) \in \mathbf{V}_{ps,pr}(R)$ . We will construct the corresponding  $H$ -Galois extension by computing the image of  $(t_0, t_1)$  under the connecting homomorphism

$$\delta : \mathbf{V}_{ps,pr}(R) \longrightarrow H^1(R, SpA_v(s, r)).$$

By [SS1, §2.3, p. 109], the canonical flat surjection  $\Psi : \mathbf{W}_{s,r} \rightarrow \mathbf{V}_{ps,pr}$  can be defined via polynomials:

$$(T_0, T_1) \mapsto (\Psi_0(T_0), \Psi_1(T_0, T_1))$$

where

$$\Psi_0(T_0) = \frac{(x_s T_0 + 1)^p - 1}{x_{ps}},$$

$$\Psi_1(T_0, T_1) = \frac{(x_s T_0 + 1)^{-1}(x_r T_1 + F_1(T_0))^p - G_1(\Psi_0(T_0))}{x_{pr}}.$$

Moreover, there exists a finite extension  $L/K$  with ring extension  $A = O_L/R$  and an element  $(p_0, p_1) \in \mathbf{W}_{s,r}(A)$  so that

$$\Psi_0(p_0) = \frac{(x_s p_0 + 1)^p - 1}{x_{ps}} = t_0,$$

$$\Psi_1(p_0, p_1) = \frac{(x_s p_0 + 1)^{-1}(x_r p_1 + F_1(p_0))^p - G_1(\Psi_0(p_0))}{x_{pr}} = t_1.$$

If we write  $v_0 = 1 + x_s p_0$ ,  $v_1 = F_1(p_0) + x_r p_1$ , we have that  $\delta((t_0, t_1))$  corresponds to the  $H$ -Galois extension

$$S = R \left[ \frac{v_0 - 1}{x_s}, \frac{v_1 - F_1(v'_0)}{x_r} \right],$$

where  $v'_0 = p_0$ . This follows exactly as in Theorem 2.1, and we outline the proof. We first see that  $S$  is finitely generated since  $(p_0, p_1) \in \mathbf{W}_{s,r}(A)$ . We then check that  $S \otimes K$  is a  $KC_{p^2}$ -Galois extension, using L. Roberts isomorphism. Finally we conclude that  $S$  is an  $H$ -Galois extension by showing that  $\text{disc}(S/R) = \text{disc}(H/R)$ .

Now suppose  $(t_0, t_1) \in \mathbf{W}_{s,r}^\Psi(R)$ . Then there exists  $(p_0, p_1) \in \mathbf{W}_{s,r}(R)$  so that

$$t_0 = \frac{\alpha_0^F(p_0)^p - 1}{x_{ps}},$$

$$t_1 = \frac{\alpha_0^F(p_0)^{-1}(\alpha_1^F(p_0, p_1))^p - G_1(t_0)}{x_{pr}}.$$

Over  $K$ ,

$$S \otimes K = K(\alpha_0^F(p_0), \alpha_1^F(p_0, p_1)) = K(\sqrt[p^2]{\alpha_1^G(t_0, t_1)^p \alpha_0^G(t_0)}) = K(\alpha_1^F(p_0, p_1)),$$

with  $\alpha_1^F(p_0, p_1) \in K$ . Hence  $S \otimes K$  is the trivial  $KC_{p^2}$ -Galois extension. It follows that  $S$  is the trivial  $A_v(s, r)$ -Galois extension iff the corresponding element  $(t_0, t_1) \in \mathbf{W}_{s,r}^\Psi(R)$ . This completes the proof of the theorem.  $\square$

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